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# Memory- and time-efficient dense network for single image super-resolution

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**Abstract:** Dense connections in convolutional neural networks (CNNs), which connect each layer to every other layer, can compensate mid/high-frequency information loss and further enhance high-frequency signals. However, dense CNNs suffer from high memory usage due to the accumulation of concatenating feature-maps stored in memory. To overcome this problem, we propose a two-step approach that learns the representative concatenating feature-maps. Specifically, we use a convolutional layer with many more filters before concatenating layers to learn richer feature-maps. Therefore, the irrelevant and redundant feature-maps are discarded in the concatenating layers. The proposed method results in 24% and 6% less memory usage and test time, respectively, in comparison to single image super-resolution (SISR) with the basic dense block. It also improves the peak signal-to-noise ratio by 0.24 dB. Moreover, the proposed method, while producing competitive results, decreases the number of filters in concatenating layers by at least a factor of 2 and reduces the memory consumption and test time by 40% and 12%, respectively. These results suggest that the proposed approach is a more practical method for SISR.

## 1 Introduction

Single image super-resolution (SISR), which aims to restore rich details and/or pleasant visual quality in an image, is favored in many fields, including surveillance, remote sensing, and medical imaging. SISR is a classic problem, nevertheless a challenging open research problem in computer vision because of its ill-posed nature, i.e., being under-determined. In detail, the low-resolution (LR) image ( $y$ ) is formed using [1]:

$$y = Dx + v, \quad (1)$$

where,  $D$ ,  $x$ , and  $v$  stand for degradation process, high-resolution (HR) image, and additive noise, respectively. Degradation operators mostly include blurring and down-sampling. The information loss in the degradation process is high. Therefore, there exist various images that can be reduced to the observed LR image by applying equation (1). That is especially problematic in larger upscaling factors because the SISR is more ill-posed in these cases.

For decades, there has been consistent progress in developing and improving SISR techniques, which are documented in several surveys [1, 2]. These techniques are in three main categories, namely interpolation-based, reconstruction-based, and learning-based methods [3–6]. Interpolation- and reconstruction-based methods have the problem of preserving information. Due to the significant learning ability of deep convolutional neural networks (CNNs) and their hierarchical property, they are widely used in the single image super-resolution task recently. CNNs learn an end-to-end mapping between the LR image and its counterpart HR image.

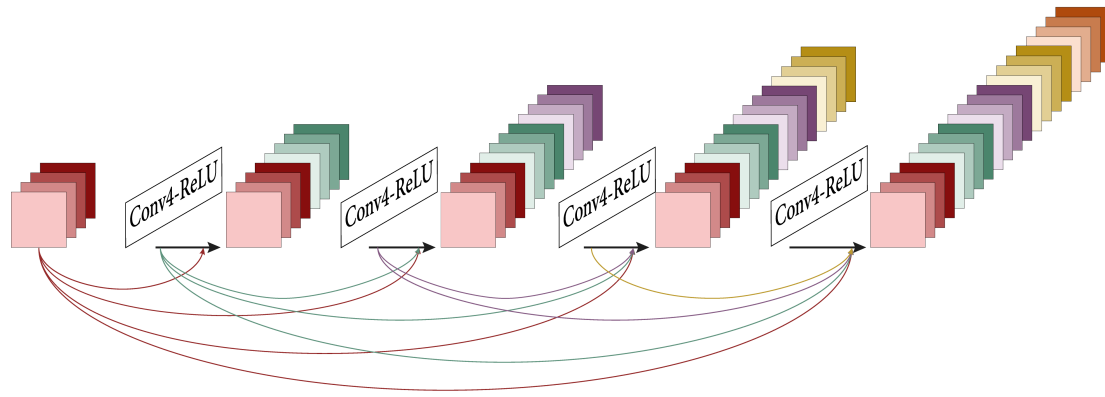
As a pioneer, Dong *et al.* proposed the first convolutional neural network for the SISR problem [3]. The problem with this network is the slow convergence that prevents it from increasing in depth. Kim *et al.* addressed this problem with a skip connection that adds input and output of the network via element-wise addition and proposed two 20 layers CNNs, by increasing the recursion depth [7] or stacking weight layers [8]. Residual connection alleviates the vanishing gradient problem in training deeper networks. In other research, Tai *et al.* proposed another recursive network with depth 52 [9]. They use recursion on the local residual unit. Ledig *et al.* also proposed a residual CNN that combines local and global residual learning

[10]. However, their proposed CNN uses a late upscaling strategy and does not use shared weights, reaching promising results. Lim *et al.* improved Ledig *et al.*'s method in different ways, including omitting batch normalization [11].

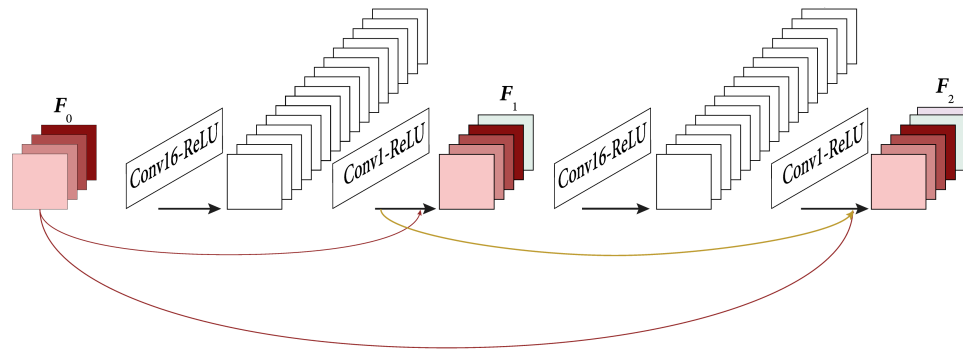
With the advent of dense CNNs, recent SISR methods using them have achieved superior results. The number of output feature-maps of dense network layers is defined as the growth rate. Dense networks ensure the maximum information flow by connecting each layer to every other layer in a feed-forward manner, as shown in Fig. 1. These networks using channel-wise concatenations provide several other advantages, for example, they use the collective knowledge of hierarchical features and avoid learning redundant feature-maps. However, this kind of CNNs consumes high GPU memory due to the dense concatenation.

Tong *et al.* use dense connections in the whole network, and set the growth rate to 16 to prevent the network from growing too wide [12]. Tai *et al.* proposed dense connections for image restoration in a global way and between modules named memory blocks [13]. Zhang *et al.* proposed dense blocks for SISR which employ dense connections inside blocks of limited depth [14], like what is shown in Fig. 1. They also use a  $1 \times 1$  convolutional layer to reduce the channel number. Recent SISR methods use either local [15–17] or global dense connections [18] in their proposed CNNs with promising results. Local dense connections are between convolutional layers [15, 16] or residual units [17]. Utilizing a larger growth rate enriches the concatenating feature-maps and leads to an overall superior discriminative ability. However, existing methods do not handle the memory problem caused by larger growth rates.

Increasing the growth rate indeed produces richer features, but it also produces some irrelevant feature-maps that increase memory usage and test. This paper proposes a composite layer for learning concatenating feature-maps. In other words, we use a wider convolutional layer before concatenating layers to learn richer feature-maps. This layer is then followed by a slim layer to extract relevant information from the input feature-maps. The proposed method, which is shown in Fig. 2, is inspired by the concept of dimensionality reduction to reduce the memory usage of dense CNNs. It has the flexibility to tune the number of filters in the odd and even layers to get an



**Fig. 1:** The basic dense method (Conv4 stands for a convolutional layer with four filters).



**Fig. 2:** The proposed dense method (Conv16 stands for a convolutional layer with 16 filters, and Conv1 stands for a convolutional layer with one filter).

efficient trade-off between the representational power and memory usage of dense CNNs.

Comprehensive experiments justify the efficiency of the proposed method. It has been examined at four depths: 4, 8, 16, 32. On average, the proposed method decreases the number of concatenating feature-maps, resulting in 24% and 6% less GPU memory usage and test time, respectively, compared to the basic dense method. It also improves the peak signal-to-noise ratio by 0.24 dB. Moreover, with the proposed method, the growth rate can be reduced by at least a factor of 2 to lower the memory and time usage by 40% and 12%, respectively, while keeping the results competitive.

In summary, the proposed method has the following advantages:

- It lowers the need for larger growth rates to increase the discriminative capability of dense CNNs, and
- It significantly reduces GPU memory consumption and test time by propagating only the representative concatenating feature-maps.

The rest of this paper is as follows. A review of related works is provided in Section 2. The proposed method is detailed in Section 3.1. Next, the datasets, training setup, network parameters, and the experimental results are described in Section 4, and finally, the paper is concluded in Section 5.

## 2 Related Work

With the ability to automatically learn informative hierarchical features, convolutional neural networks (CNNs) have been extensively studied in recent years. In the next subsections, we present related concepts from dense CNNs and dimensionality reduction, which help to comprehend the proposed method.

### 2.1 Dense CNNs

Recent works have shown that shorter connections between layers close to the input and those close to the output of convolutional networks make them substantially deeper, more accurate, and efficient to train. Dense CNNs concat feature-maps in other layer's input (with matching feature-map sizes) in a feed-forward manner [19], shown in Fig. 1. Dense CNNs have several advantages: they alleviate the vanishing gradient problem, strengthen feature propagation, and reduce redundant feature-maps.

Recent SISR methods have also witnessed these advantages from dense CNNs. Tai *et al.*[13] use densely connected structure in a global way and between memory blocks. In each memory block, they use successive residual units to learn multi-level representations of the current state, concatenating with outputs of previous memory blocks. At the end of each memory block, they apply a pre-activated  $1 \times 1$  convolutional layer. Their experimental results show the efficiency of the long term dense connections for image restoration tasks. Tong *et al.*[12] and Zhang *et al.*[14] proposed dense blocks for SISR like what is shown in Fig. 1. Tong *et al.* also used dense connections between blocks for improved results and set the growth rate to 16 preventing the network from growing too wide, and use a  $1 \times 1$  convolutional layer after all blocks, to reduce number of feature-maps. Zhang *et al.* use local residual learning between input and output of dense for improved performance. The output of each block has a direct connection to all the layers of the next block to support contiguous memory among blocks. Finally, they concatenate the outputs of all blocks to use the hierarchical features of the input LR image for reconstruction. They also utilize  $1 \times 1$  convolutional layers to adaptively preserve features and stabilize the training of the wider network.

Recent researches use dense connections in their proposed methods. Shamsolmoali *et al.* use dense blocks with dilated convolutional layers to increase the receptive field [15]. Anwar *et al.* propose densely residual laplacian network, and use local dense connection between residual units [15]. Qin *et al.* propose multi-resolution

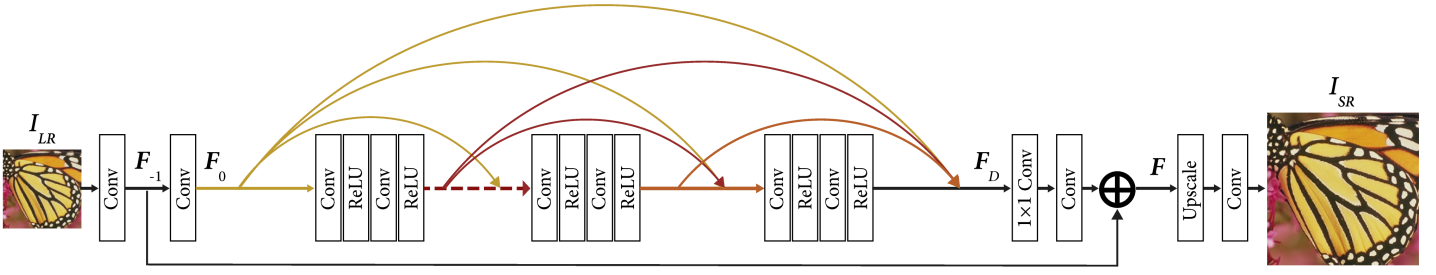


Fig. 3: The architecture of the network used for SISR.

space-attended residual dense network with an adaptive fusion block based on channel-wise sub-network attention [15]. Dai *et al.* use channel wise attention mechanisms to extract more informative and discriminative representations [18]. The main problem raised with dense CNNs is the memory consumption specially in larger growth rates.

In a dense block, if each layer produces  $G$  feature-maps, then the  $l^{th}$  layer receives  $G_0 + G \times (l - 1)$  feature-maps of previous layers, where  $G_0$  is the number of received feature-maps in the first layer and  $G$  is referred to as the growth rate. Using larger depth ( $L$ ) and growth rate ( $G$ ) increase the number of feature-maps to be kept in memory. Previous methods prevent the memory problem of dense CNNs by various means, including using less concatenate layers or using a smaller growth rate or using a  $1 \times 1$  convolutional layer to reduce channel number. In this paper, considering the concept of dimensionality reduction, a two step concatenate feature-map learning is proposed to produce reduced and representative concatenate feature-maps. The proposed method described in the following sections significantly improves the memory usage without loss of information.

## 2.2 Dimensionality Reduction

In general, well-performing features have several characteristics, including 1) being representative to provide a concise description, and 2) being independent since dependant features are redundant. Dimensionality reduction is concerned with reducing the number of features to generate more compact and representative features. The main problems with high-dimensional data are when many features are irrelevant or redundant. Therefore, such features increase memory usage and test time without useful function.

There are two general approaches for dimensionality reduction that are feature selection and feature extraction. The central premise when using a feature selection technique is that the data contain some features that are either redundant or irrelevant and can thus be removed without incurring much loss of information [20]. Feature extraction creates new features based on the original feature set intended to be informative and non-redundant. It usually involves transforms to get relevant information from the input features, so that the desired task is performed by using this reduced representation instead of the complete initial one. The transforms may be linear or non-linear. However, the best transform is most likely a non-linear function.

This paper proposes a novel approach for reducing the memory consumption and test time of dense CNNs inspired by dimensionality reduction concepts mentioned above.

## 3 Proposed Method

### 3.1 Network architecture

The network architecture used for experiments is shown in Fig. 3. That is the common architecture being used in most SISR techniques. The network learns an end-to-end mapping from LR images ( $I_{LR}$ ) to HR images ( $I_{HR}$ ). The output of the network is named  $I_{SR}$ , which is an approximation of  $I_{HR}$ .

Low-level feature-maps  $F_{-1}$  and  $F_0$  are extracted using two convolutional layers:

$$F_{-1} = W^{-1} I_{LR}, \quad (2)$$

$$F_0 = W^0 F_{-1}, \quad (3)$$

where  $W^{-1}$  and  $W^0$  are the weights of these two convolutional layers. The bias term is omitted for simplicity.

The proposed dense method gets  $F_0$  as input and learns residual multi-level feature-maps. If we consider the number of levels of the proposed method as  $D$ , we denote the input to the  $d$ -th level by  $F_d$ , for  $d = 1, 2, \dots, D$ . Each level applies a non-linear transform  $H_d(\cdot)$  consisting of two convolutional layers:

$$H_d = \sigma \left( W_d^2 \sigma \left( W_d^1 F_{d-1} \right) \right), \quad (4)$$

where  $W_d^i$ ,  $i = 1, 2$  stands for the weights of the  $i$ -th convolutional layer in level  $d$ ,  $\sigma$  denotes the ReLU activation function [21], and  $d$  is the index of the level. The size of  $W_d^i$  is  $3 \times 3 \times n_i$  in which  $n_1$  is much bigger than  $n_2$ .

Each level gets the concatenation of feature-maps of all preceding levels as input:

$$F_d = [F_0, F_1, \dots, F_{d-1}], \quad (5)$$

where  $[\cdot, \cdot]$  refers to the concatenation of feature-maps.

The output of the proposed method,  $F_D$ , is fed into a  $1 \times 1$  convolutional layer, namely feature fusion layer, to control the output information and adaptively fuse multi-level feature-maps. A  $3 \times 3$  convolutional layer is used to extract features for residual learning. The final multi-level feature-maps after residual learning formulate as:

$$F = F_{-1} + W^{L+2} W^{L+1} F_D \quad (6)$$

where  $W^{L+1}$  and  $W^{L+2}$  represent the weights of  $1 \times 1$  and  $3 \times 3$  convolutional layers, respectively. Upscaling is done on these multi-level feature-maps using ESPCNN [22], followed by a convolutional layer outputting the  $I_{SR}$ .

### 3.2 Representative Dense Feature Learning

In the basic dense block shown in Fig. 1, the network's discriminative ability increases by using a larger growth rate. However, the larger growth rate is associated with huge memory usage due to the accumulation of concatenating feature-maps stored in memory. Therefore, the memory problem does not allow the growth rate to be increased very much in these networks.

Increasing the growth rate also produces irrelevant feature-maps that does not affect the network's discriminative ability but increases its GPU memory usage. Therefore, we propose a new dense method that determines the network's discriminative capability using two

hyper-parameters. In other words, the concatenating feature-maps are learned in two consecutive layers. In the proposed method shown in Fig. 2, the richer feature-maps can also be learned with a wider layer before the concatenating layer. The concise and representative concatenating feature-maps are then extracted from these features using a thin concatenating layer. As a result, in the proposed dense block memory usage efficiently decreases which is discussed in the next subsection. This is while the proposed method does not reduce the discriminative capability of dense CNNs because it keeps the propagating feature-maps as representative as before.

### 3.3 Discussion

By assuming the number of convolutional layers to be even, if the odd and even layers of the proposed dense block produce  $G_1$  and  $G_2$  feature-maps, respectively, then the input to  $l - th$  layer (to be odd) has  $G_0 + G_2 \times (l/2)$  channels, which is almost half of the basic dense block  $G_0 + G \times (l - 1)$  by setting  $G = G_2$ . Therefore, with the same depth and growth rate, the proposed block is expected to have less memory requirement and test time than the basic dense block.

With the help of the wider layer used before the concatenating layer, the proposed method learns discriminative feature-maps. Therefore, the growth rate can be reduced ( $G_2$ ) compared to the growth rate of the basic dense block ( $G$ ) without loss of information. That produces more representative concatenating feature-maps and can more reduce the GPU memory usage and test time.

## 4 Experiments

The basic dense block is used instead of the proposed dense block in the network architecture to compare the results. These models are trained with different numbers of convolutional layers. The results are reported in Tables 3, 4, 5, and 6, and are discussed below.

### 4.1 Datasets and metrics

The DIVerse 2K resolution high-quality image dataset (DIV2K) contains 800 training images, 100 validation images, and 100 test images [23]. DIV2K dataset is used for training and validation. Only five validation images are used in experiments to reduce training time. Set5 [24], Set14 [25], B100 [26], Urban100 [27], and Manga109 [28] are used as five standard test datasets.

The HR images are degraded by the bicubic downscaling (using 'imresize' function of MATLAB) with a scale factor of 2 to form the LR images. Peak signal-to-noise ratio (PSNR) and structural similarity (SSIM) [29] metrics are calculated on the Y channel of transformed images in YCbCr space, in both validation and test steps.

### 4.2 Training setup

In each training batch, 16 LR RGB patches of size  $48 \times 48$  are randomly cropped as inputs. These patches are randomly augmented by flipping horizontally or vertically and rotating  $90^\circ$ . Each input patch to the network is subtracted with the mean RGB value of the DIV2K dataset. This mean value is added back to the output of the network. The learning rate is initialized to  $10^{-4}$  for all layers. The network is implemented with the Torch7 framework. Adam optimizer [30] is used by  $\beta_1 = 0.9$ ,  $\beta_2 = 0.999$ , and  $\epsilon = 10^{-8}$ . An epoch contains 1000 iterations of back-propagation. The results are reported after 200 epochs of training. Two NVIDIA GTX 1080Ti GPUs are used for training, validation, and testing.

### 4.3 Network parameters

All convolutional kernels' size is  $3 \times 3$ , except the feature fusion layer whose kernel size is  $1 \times 1$ . Zero-padding is used in all  $3 \times 3$  convolutional layers because using a kernel size of  $3 \times 3$  reduces the

feature-map size. The efficiency of zero-padding is shown by Kim *et al.* [8].

The number of filters in the first and second convolutional layers, feature fusion layer, and its next coming  $3 \times 3$  convolutional layer is 64. Three filters are used in the last convolutional layer to output a color image.

**Table 1** The percentage of memory usage reduction.

| Selected models     | Memory improvement |
|---------------------|--------------------|
| '64-16' vs. '16'    | 17%                |
| '128-32' vs. '32'   | 21%                |
| '256-64' vs. '64'   | 25%                |
| '512-128' vs. '128' | 25%                |
| '512-256' vs. '256' | 34%                |

**Table 2** Results for  $L=16$ .

| Model     | Memory (MiB) | Time (ms) | PSNR/SSIM |        |
|-----------|--------------|-----------|-----------|--------|
| '32'      | 1313         | 303       | 33.91     | 0.9329 |
| '64'      | 1901         | 305       | 34.12     | 0.9344 |
| '128'     | 3215         | 305       | 34.24     | 0.9349 |
| '256'     | 6493         | 761       | 34.47     | 0.9359 |
| '64-16'   | 835          | 291       | 33.87     | 0.9320 |
| '128-32'  | 971          | 292       | 34.10     | 0.9338 |
| '256-32'  | 1075         | 295       | 34.21     | 0.9343 |
| '512-128' | 2109         | 324       | 34.43     | 0.9357 |

### 4.4 Results

The proposed dense method in Fig. 3 is replaced with the basic dense method 1 to compare the results. The symbols ' $G$ ' and ' $G_1 - G_2$ ' are used in the first column of tables to present the names of the basic method and the proposed method, respectively.  $G$  stands for the number of filters in each layer of the basic dense block.  $G_1$  and  $G_2$  represent the number of filters in odd and even layers of the proposed block, respectively. In almost all experiments, the value of  $G_1$  is larger/equal to four times the value of  $G_2$ . Larger  $G_1$  boosts the results.

**4.4.1 Memory/Time investigation:** As formulated in the Subsection 3.3, the proposed method is expected to reduce GPU memory usage and test time, while the growth rate is the same for both methods. Comprehensive experimental results justify this hypothesis. By setting the growth rate to be the same for both the basic and proposed dense methods, the models are selected based on their PSNR/SSIM values to be competitive to the basic dense method. The percentage of memory usage reduction of the proposed method compared to the basic method is calculated in each depth and depicted in Fig. 4. Furthermore, the average value of four depths for selected models is reported in the Table 1. The overall average of all models is 24%. By the same calculations, the proposed method is 6% time-efficient.

As discussed in Subsection 3.3, memory usage is directly proportional to the growth rate, and the proposed method can decrease the growth rate while achieving competitive results. i.e. considering the PSNR/SSIM values at depth 16, models '32', '64', '128', and '256' are comparable with '64-16', '128-32', '256-32', and '512-128', respectively, reported in Table 2.

At each growth rate for the basic dense method, the percentage of memory improvement achieved by the proposed dense method is illustrated in Fig. 5. The horizontal axes show the growth rate of the basic connectivity pattern. The vertical axes represent the percentage of memory improvement achieved by the proposed method with similar PSNR and reduced growth rate. The average memory improvement of all models at all depths is 40%. The proposed method improves the test time by 12% with similar calculations.

**Table 3** Results for  $L=4$ . In the first column, symbol  $G$  indicates the number of filters in each layer of the basic dense block,  $G_1 - G_2$  stands for the number of filters of the proposed method in layers before concatenate layers, and the concatenate layers respectively. Other columns represent the memory usage, average test time for the B100 dataset, number of network parameters, average PSNR/SSIM for each test dataset, and average PSNR/SSIM for all five test datasets, respectively.

| Model      | Memory (MiB) | Time (ms) | Parameters (million) | Set5  |        | Set14  |        | B100  |        | Urban100 |        | Manga109 |        | Average |        |
|------------|--------------|-----------|----------------------|-------|--------|--------|--------|-------|--------|----------|--------|----------|--------|---------|--------|
| '16'       | 669          | 200       | 0,3                  | 37.24 | 0.9575 | 32.82  | 0.9106 | 31.59 | 0.8920 | 30.07    | 0.9046 | 36.79    | 0.9719 | 32.99   | 0.9242 |
| '32'       | 695          | 200       | 0,4                  | 37.38 | 0.9581 | 32.94  | 0.9118 | 31.73 | 0.8940 | 30.56    | 0.9116 | 36.88    | 0.9727 | 33.22   | 0.9273 |
| '64'       | 761          | 198       | 0,6                  | 37.46 | 0.9586 | 33.085 | 0.9136 | 31.84 | 0.8954 | 30.84    | 0.9148 | 37.22    | 0.9741 | 33.46   | 0.9292 |
| '128'      | 857          | 199       | 1,4                  | 37.60 | 0.9592 | 33.18  | 0.9146 | 31.92 | 0.8966 | 31.10    | 0.9178 | 37.55    | 0.9748 | 33.68   | 0.9308 |
| '256'      | 1131         | 201       | 4,4                  | 37.67 | 0.9594 | 33.28  | 0.9154 | 31.98 | 0.8973 | 31.31    | 0.9202 | 37.73    | 0.9752 | 33.83   | 0.9319 |
| '64-16'    | 655          | 196       | 0,3                  | 37.32 | 0.9580 | 32.92  | 0.9118 | 31.71 | 0.8939 | 30.44    | 0.9099 | 36.96    | 0.9730 | 33.20   | 0.9268 |
| '128-16'   | 663          | 197       | 0,4                  | 37.45 | 0.9585 | 33.00  | 0.9126 | 31.77 | 0.8946 | 30.57    | 0.9116 | 37.23    | 0.9738 | 33.36   | 0.9278 |
| '256-16'   | 687          | 195       | 0,6                  | 37.38 | 0.9583 | 33.00  | 0.9130 | 31.78 | 0.8950 | 30.69    | 0.9131 | 37.15    | 0.9737 | 33.37   | 0.9284 |
| '512-16'   | 767          | 196       | 1,0                  | 37.54 | 0.9588 | 33.09  | 0.9134 | 31.85 | 0.8955 | 30.85    | 0.9145 | 37.44    | 0.9743 | 33.54   | 0.9292 |
| '1024-16'  | 917          | 196       | 1,9                  | 37.56 | 0.9588 | 33.12  | 0.9139 | 31.87 | 0.8959 | 30.97    | 0.9163 | 37.38    | 0.9742 | 33.57   | 0.9299 |
| '128-32'   | 673          | 196       | 0,5                  | 37.53 | 0.9586 | 33.07  | 0.9133 | 31.84 | 0.8955 | 30.81    | 0.9144 | 37.35    | 0.9741 | 33.50   | 0.9291 |
| '256-32'   | 695          | 195       | 0,8                  | 37.38 | 0.9587 | 33.07  | 0.9137 | 31.84 | 0.8957 | 30.91    | 0.9158 | 37.17    | 0.9742 | 33.46   | 0.9296 |
| '512-32'   | 783          | 196       | 1,3                  | 37.60 | 0.9591 | 33.17  | 0.9144 | 31.90 | 0.8964 | 31.03    | 0.9170 | 37.54    | 0.9747 | 33.65   | 0.9304 |
| '1024-32'  | 933          | 195       | 2,3                  | 37.64 | 0.9592 | 33.21  | 0.9147 | 31.92 | 0.8965 | 31.14    | 0.9183 | 37.46    | 0.9747 | 33.67   | 0.9309 |
| '256-64'   | 721          | 196       | 1,0                  | 37.58 | 0.9593 | 33.17  | 0.9145 | 31.90 | 0.8963 | 31.04    | 0.9171 | 37.49    | 0.9748 | 33.64   | 0.9305 |
| '512-64'   | 811          | 193       | 1,7                  | 37.65 | 0.9594 | 33.17  | 0.9145 | 31.93 | 0.8968 | 31.15    | 0.9187 | 37.52    | 0.9748 | 33.69   | 0.9311 |
| '1024-64'  | 967          | 197       | 3,2                  | 37.70 | 0.9594 | 33.27  | 0.9151 | 31.97 | 0.8970 | 31.24    | 0.9192 | 37.71    | 0.9751 | 33.80   | 0.9314 |
| '512-128'  | 845          | 197       | 2,6                  | 37.76 | 0.9596 | 33.32  | 0.9153 | 31.99 | 0.8971 | 31.29    | 0.9198 | 37.89    | 0.9754 | 33.88   | 0.9318 |
| '1024-128' | 1027         | 199       | 5,0                  | 37.75 | 0.9594 | 33.28  | 0.9150 | 31.98 | 0.8973 | 31.39    | 0.9209 | 37.75    | 0.9752 | 33.86   | 0.9321 |
| '512-256'  | 947          | 196       | 4,4                  | 37.78 | 0.9598 | 33.32  | 0.9156 | 32.01 | 0.8975 | 31.45    | 0.9217 | 37.93    | 0.9757 | 33.95   | 0.9326 |

**Table 4** Results for  $L=8$ . In the first column, symbol  $G$  indicates the number of filters in each layer of the basic dense block,  $G_1 - G_2$  stands for the number of filters of the proposed method in layers before concatenate layers, and the concatenate layers respectively. Other columns represent the memory usage, average test time for the B100 dataset, number of network parameters, average PSNR/SSIM for each test dataset, and average PSNR/SSIM for all five test datasets, respectively.

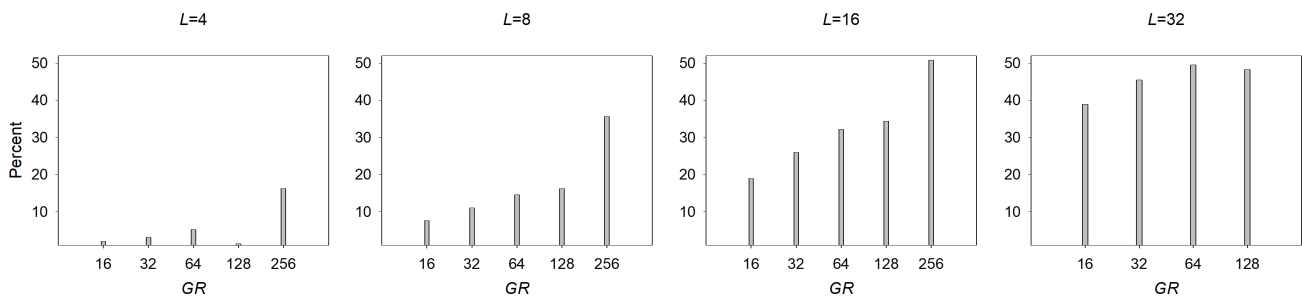
| Model      | Memory (MiB) | Time (ms) | Parameters (million) | Set5  |        | Set14 |        | B100  |        | Urban100 |        | Manga109 |        | Average |        |
|------------|--------------|-----------|----------------------|-------|--------|-------|--------|-------|--------|----------|--------|----------|--------|---------|--------|
| '16'       | 759          | 235       | 0,4                  | 37.46 | 0.9585 | 33.02 | 0.9126 | 31.79 | 0.8948 | 30.69    | 0.9129 | 37.20    | 0.9736 | 33.39   | 0.9282 |
| '32'       | 847          | 232       | 0,7                  | 37.60 | 0.9590 | 33.16 | 0.9140 | 31.91 | 0.8962 | 31.04    | 0.9168 | 37.51    | 0.9747 | 33.65   | 0.9303 |
| '64'       | 1025         | 233       | 1,6                  | 37.76 | 0.9596 | 33.28 | 0.9149 | 31.97 | 0.8969 | 31.26    | 0.9196 | 37.79    | 0.9753 | 33.83   | 0.9316 |
| '128'      | 1391         | 233       | 5,0                  | 37.88 | 0.9601 | 33.42 | 0.9162 | 32.08 | 0.8983 | 31.68    | 0.9238 | 38.04    | 0.9760 | 34.08   | 0.9336 |
| '256'      | 2303         | 254       | 18,1                 | 37.93 | 0.9603 | 33.49 | 0.9171 | 32.13 | 0.8990 | 31.86    | 0.9260 | 38.07    | 0.9762 | 34.17   | 0.9346 |
| '64-16'    | 701          | 229       | 0,5                  | 37.58 | 0.9590 | 33.13 | 0.9138 | 31.88 | 0.8959 | 30.91    | 0.9154 | 37.43    | 0.9745 | 33.57   | 0.9297 |
| '128-16'   | 735          | 227       | 0,7                  | 37.58 | 0.9592 | 33.18 | 0.9144 | 31.92 | 0.8967 | 31.11    | 0.9181 | 37.48    | 0.9747 | 33.66   | 0.9309 |
| '256-16'   | 769          | 226       | 1,2                  | 37.71 | 0.9596 | 33.27 | 0.9149 | 31.97 | 0.8971 | 31.24    | 0.9192 | 37.77    | 0.9752 | 33.82   | 0.9315 |
| '512-16'   | 881          | 227       | 2,2                  | 37.76 | 0.9596 | 33.30 | 0.9152 | 32.00 | 0.8974 | 31.39    | 0.9210 | 37.74    | 0.9753 | 33.86   | 0.9322 |
| '1024-16'  | 1101         | 228       | 4,1                  | 37.79 | 0.9598 | 33.38 | 0.9155 | 32.03 | 0.8979 | 31.49    | 0.9222 | 37.73    | 0.9752 | 33.91   | 0.9327 |
| '128-32'   | 753          | 225       | 0,9                  | 37.66 | 0.9593 | 33.25 | 0.9148 | 31.98 | 0.8973 | 31.29    | 0.9200 | 37.64    | 0.9750 | 33.79   | 0.9317 |
| '256-32'   | 795          | 227       | 1,6                  | 37.76 | 0.9598 | 33.30 | 0.9156 | 32.03 | 0.8978 | 31.44    | 0.9215 | 37.86    | 0.9755 | 33.93   | 0.9326 |
| '512-32'   | 911          | 230       | 2,9                  | 37.85 | 0.9599 | 33.38 | 0.9159 | 32.06 | 0.8982 | 31.56    | 0.9228 | 38.01    | 0.9759 | 34.03   | 0.9332 |
| '1024-32'  | 1159         | 228       | 5,6                  | 37.85 | 0.9600 | 33.40 | 0.9160 | 32.07 | 0.8984 | 31.64    | 0.9236 | 37.89    | 0.9758 | 34.02   | 0.9335 |
| '256-64'   | 875          | 225       | 2,3                  | 37.78 | 0.9597 | 33.33 | 0.9158 | 32.04 | 0.8981 | 31.53    | 0.9225 | 37.73    | 0.9753 | 33.92   | 0.9329 |
| '512-64'   | 999          | 226       | 4,4                  | 37.85 | 0.9600 | 33.39 | 0.9158 | 32.09 | 0.8985 | 31.68    | 0.9243 | 37.89    | 0.9758 | 34.04   | 0.9337 |
| '1024-64'  | 1273         | 226       | 8,5                  | 37.94 | 0.9603 | 33.50 | 0.9171 | 32.09 | 0.8984 | 31.77    | 0.9249 | 38.18    | 0.9762 | 34.17   | 0.9341 |
| '512-128'  | 1165         | 226       | 7,3                  | 37.89 | 0.9602 | 33.48 | 0.9170 | 32.10 | 0.8985 | 31.79    | 0.9249 | 38.02    | 0.9760 | 34.12   | 0.9340 |
| '1024-128' | 1511         | 237       | 14,4                 | 37.96 | 0.9603 | 33.51 | 0.9168 | 32.14 | 0.8991 | 31.91    | 0.9266 | 38.18    | 0.9763 | 34.22   | 0.9348 |
| '512-256'  | 1481         | 233       | 13,3                 | 37.95 | 0.9604 | 33.52 | 0.9168 | 32.14 | 0.8991 | 31.92    | 0.9264 | 38.18    | 0.9763 | 34.23   | 0.9348 |

**Table 5** Results for  $L=16$ . In the first column, symbol  $G$  indicates the number of filters in each layer of the basic dense block,  $G_1 - G_2$  stands for the number of filters of the proposed method in layers before concatenate layers, and the concatenate layers respectively. Other columns represent the memory usage, average test time for the B100 dataset, number of network parameters, average PSNR/SSIM for each test dataset, and average PSNR/SSIM for all five test datasets, respectively.

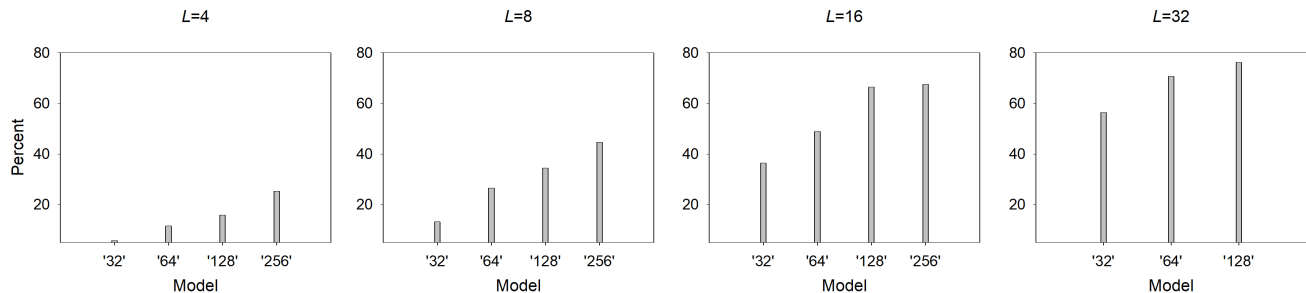
| Model      | Memory (MiB) | Time (ms) | Parameters (million) | Set5  |        | Set14 |        | B100  |        | Urban100 |        | Manga109 |        | Average |        |
|------------|--------------|-----------|----------------------|-------|--------|-------|--------|-------|--------|----------|--------|----------|--------|---------|--------|
| '16'       | 1031         | 309       | 0,7                  | 37.60 | 0.9592 | 33.19 | 0.9144 | 31.94 | 0.8968 | 31.16    | 0.9183 | 37.54    | 0.9748 | 33.70   | 0.9310 |
| '32'       | 1313         | 303       | 1,7                  | 37.79 | 0.9597 | 33.32 | 0.9155 | 32.04 | 0.8981 | 31.48    | 0.9222 | 37.76    | 0.9755 | 33.91   | 0.9329 |
| '64'       | 1901         | 305       | 5,3                  | 37.85 | 0.9603 | 33.47 | 0.9167 | 32.10 | 0.8990 | 31.77    | 0.9253 | 38.04    | 0.9763 | 34.12   | 0.9344 |
| '128'      | 3215         | 305       | 19,2                 | 37.93 | 0.9605 | 33.47 | 0.9169 | 32.14 | 0.8989 | 31.96    | 0.9267 | 38.18    | 0.9767 | 34.24   | 0.9349 |
| '256'      | 6493         | 761       | 73,6                 | 38.00 | 0.9603 | 33.63 | 0.9179 | 32.20 | 0.8997 | 32.19    | 0.9288 | 38.58    | 0.9769 | 34.47   | 0.9359 |
| '64-16'    | 835          | 291       | 0,9                  | 37.74 | 0.9596 | 33.30 | 0.9151 | 32.00 | 0.8973 | 31.32    | 0.9202 | 37.83    | 0.9755 | 33.87   | 0.9320 |
| '128-16'   | 877          | 290       | 1,5                  | 37.72 | 0.9596 | 33.27 | 0.9151 | 32.01 | 0.8977 | 31.44    | 0.9216 | 37.75    | 0.9752 | 33.88   | 0.9324 |
| '256-16'   | 981          | 290       | 2,7                  | 37.85 | 0.9599 | 33.40 | 0.9158 | 32.08 | 0.8982 | 31.72    | 0.9242 | 38.01    | 0.9759 | 34.09   | 0.9336 |
| '512-16'   | 1185         | 290       | 5,3                  | 37.89 | 0.9601 | 33.44 | 0.9166 | 32.12 | 0.8989 | 31.84    | 0.9258 | 38.13    | 0.9761 | 34.18   | 0.9344 |
| '1024-16'  | 1593         | 295       | 10,3                 | 37.91 | 0.9603 | 33.51 | 0.9169 | 32.14 | 0.8995 | 31.91    | 0.9262 | 38.10    | 0.9763 | 34.20   | 0.9348 |
| '128-32'   | 971          | 292       | 2,2                  | 37.85 | 0.9601 | 33.41 | 0.9161 | 32.09 | 0.8986 | 31.65    | 0.9239 | 38.12    | 0.9761 | 34.10   | 0.9338 |
| '256-32'   | 1075         | 295       | 4,1                  | 37.92 | 0.9602 | 33.45 | 0.9167 | 32.11 | 0.8988 | 31.79    | 0.9252 | 38.28    | 0.9763 | 34.21   | 0.9343 |
| '512-32'   | 1315         | 289       | 7,9                  | 37.96 | 0.9605 | 33.48 | 0.9165 | 32.12 | 0.8987 | 31.90    | 0.9264 | 38.17    | 0.9764 | 34.21   | 0.9347 |
| '1024-32'  | 1793         | 307       | 15,6                 | 37.97 | 0.9605 | 33.60 | 0.9172 | 32.15 | 0.8994 | 31.96    | 0.9268 | 38.28    | 0.9765 | 34.28   | 0.9351 |
| '256-64'   | 1289         | 288       | 6,8                  | 37.98 | 0.9604 | 33.52 | 0.9173 | 32.15 | 0.8993 | 31.97    | 0.9269 | 38.33    | 0.9766 | 34.30   | 0.9351 |
| '512-64'   | 1583         | 294       | 13,2                 | 38.01 | 0.9606 | 33.59 | 0.9179 | 32.15 | 0.8991 | 32.07    | 0.9282 | 38.43    | 0.9768 | 34.36   | 0.9355 |
| '1024-64'  | 2197         | 401       | 26,2                 | 38.03 | 0.9606 | 33.57 | 0.9172 | 32.18 | 0.8996 | 32.17    | 0.9289 | 38.34    | 0.9765 | 34.37   | 0.9358 |
| '512-128'  | 2109         | 324       | 23,9                 | 38.06 | 0.9607 | 33.62 | 0.9178 | 32.19 | 0.8994 | 32.16    | 0.9284 | 38.50    | 0.9769 | 34.43   | 0.9357 |
| '1024-128' | 2951         | 488       | 47,5                 | 38.00 | 0.9607 | 33.64 | 0.9184 | 32.21 | 0.9002 | 32.26    | 0.9298 | 38.34    | 0.9767 | 34.41   | 0.9363 |
| '512-256'  | 3193         | 491       | 45,2                 | 38.08 | 0.9608 | 33.75 | 0.9193 | 32.22 | 0.9002 | 32.27    | 0.9299 | 38.50    | 0.9769 | 34.48   | 0.9365 |

**Table 6** Results for  $L=32$ . In the first column, symbol  $G$  indicates the number of filters in each layer of the basic dense block,  $G_1 - G_2$  stands for the number of filters of the proposed method in layers before concatenate layers, and the concatenate layers respectively. Other columns represent the memory usage, average test time for the B100 dataset, number of network parameters, average PSNR/SSIM for each test dataset, and average PSNR/SSIM for all five test datasets, respectively.

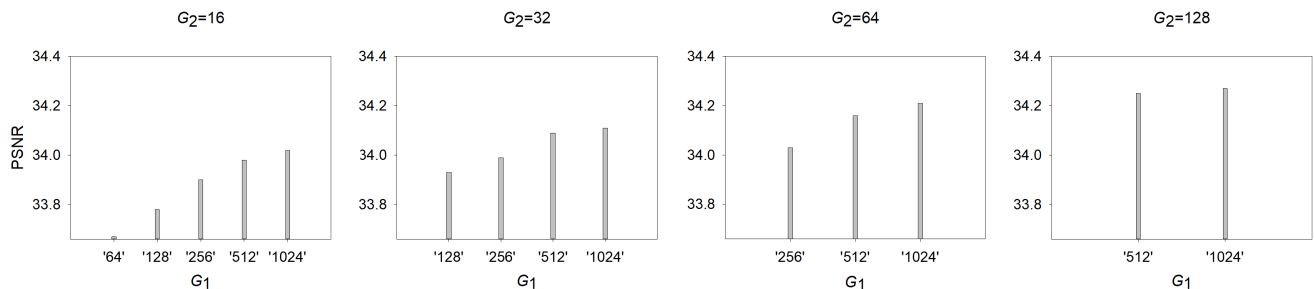
| Model      | Memory (MiB)  | Time (ms) | Parameters (million) | Set5  |        | Set14 |        | B100  |        | Urban100 |        | Manga109 |        | Average |        |
|------------|---------------|-----------|----------------------|-------|--------|-------|--------|-------|--------|----------|--------|----------|--------|---------|--------|
| '16'       | 1911          | 436       | 1,7                  | 37.80 | 0.9597 | 33.34 | 0.9151 | 32.05 | 0.8978 | 31.56    | 0.9224 | 37.99    | 0.9757 | 34.02   | 0.9329 |
| '32'       | 2929          | 440       | 5,5                  | 37.93 | 0.9603 | 33.50 | 0.9171 | 32.13 | 0.8992 | 31.88    | 0.9262 | 38.20    | 0.9764 | 34.22   | 0.9348 |
| '64'       | 5121          | 507       | 19,8                 | 37.98 | 0.9605 | 33.58 | 0.9168 | 32.18 | 0.8997 | 32.10    | 0.9282 | 38.35    | 0.9766 | 34.35   | 0.9356 |
| '128'      | 10065         | 911       | 76,0                 | 38.04 | 0.9607 | 33.66 | 0.9182 | 32.21 | 0.8999 | 32.18    | 0.9289 | 38.49    | 0.9768 | 34.44   | 0.9360 |
| '256'      | Out of memory |           |                      |       |        |       |        |       |        |          |        |          |        |         |        |
| '64-16'    | 1167          | 416       | 2,1                  | 37.81 | 0.9599 | 33.37 | 0.9161 | 32.06 | 0.8983 | 31.66    | 0.9238 | 37.98    | 0.9758 | 34.05   | 0.9335 |
| '128-16'   | 1277          | 419       | 3,9                  | 37.92 | 0.9603 | 33.47 | 0.9166 | 32.13 | 0.8991 | 31.84    | 0.9258 | 38.23    | 0.9766 | 34.21   | 0.9347 |
| '256-16'   | 1501          | 418       | 7,6                  | 37.95 | 0.9604 | 33.51 | 0.9170 | 32.16 | 0.8993 | 32.00    | 0.9273 | 38.40    | 0.9767 | 34.33   | 0.9352 |
| '512-16'   | 1929          | 427       | 15,0                 | 37.97 | 0.9604 | 33.57 | 0.9175 | 32.19 | 0.8999 | 32.07    | 0.9286 | 38.27    | 0.9766 | 34.32   | 0.9358 |
| '1024-16'  | 2831          | 539       | 29,8                 | 38.02 | 0.9606 | 33.61 | 0.9173 | 32.20 | 0.9000 | 32.20    | 0.9294 | 38.38    | 0.9768 | 34.40   | 0.9361 |
| '128-32'   | 1597          | 423       | 6,5                  | 37.97 | 0.9603 | 33.50 | 0.9162 | 32.14 | 0.8987 | 31.96    | 0.9266 | 38.41    | 0.9767 | 34.32   | 0.9348 |
| '256-32'   | 1861          | 417       | 12,7                 | 38.00 | 0.9605 | 33.56 | 0.9172 | 32.19 | 0.8997 | 32.12    | 0.9287 | 38.35    | 0.9766 | 34.36   | 0.9358 |
| '512-32'   | 2387          | 429       | 25,0                 | 38.02 | 0.9605 | 33.62 | 0.9177 | 32.20 | 0.8998 | 32.24    | 0.9293 | 38.53    | 0.9770 | 34.47   | 0.9361 |
| '1024-32'  | 3513          | 701       | 49,8                 | 38.07 | 0.9606 | 33.68 | 0.9185 | 32.20 | 0.8997 | 32.21    | 0.9295 | 38.51    | 0.9769 | 34.45   | 0.9362 |
| '256-64'   | 2585          | 418       | 22,7                 | 37.81 | 0.9605 | 33.52 | 0.9177 | 32.18 | 0.8997 | 32.13    | 0.9290 | 38.09    | 0.9767 | 34.27   | 0.9359 |
| '512-64'   | 3337          | 547       | 45,1                 | 38.06 | 0.9607 | 33.73 | 0.9187 | 32.23 | 0.9002 | 32.31    | 0.9300 | 38.62    | 0.9770 | 34.53   | 0.9365 |
| '1024-64'  | 4869          | 941       | 90,0                 | 38.05 | 0.9607 | 33.69 | 0.9184 | 32.23 | 0.9004 | 32.33    | 0.9303 | 38.54    | 0.9769 | 34.51   | 0.9366 |
| '512-128'  | 5205          | 828       | 85,3                 | 38.09 | 0.9610 | 33.79 | 0.9189 | 32.25 | 0.9004 | 32.39    | 0.9312 | 38.68    | 0.9772 | 34.58   | 0.9370 |
| '1024-128' | 7561          | 1394      | 170,2                | 38.07 | 0.9608 | 33.69 | 0.9187 | 32.22 | 0.9001 | 32.35    | 0.9306 | 38.74    | 0.9773 | 34.58   | 0.9368 |
| '512-256'  | 8955          | 1534      | 165,7                | 38.07 | 0.9608 | 33.82 | 0.9201 | 32.24 | 0.9003 | 32.39    | 0.9308 | 38.57    | 0.9770 | 34.55   | 0.9369 |



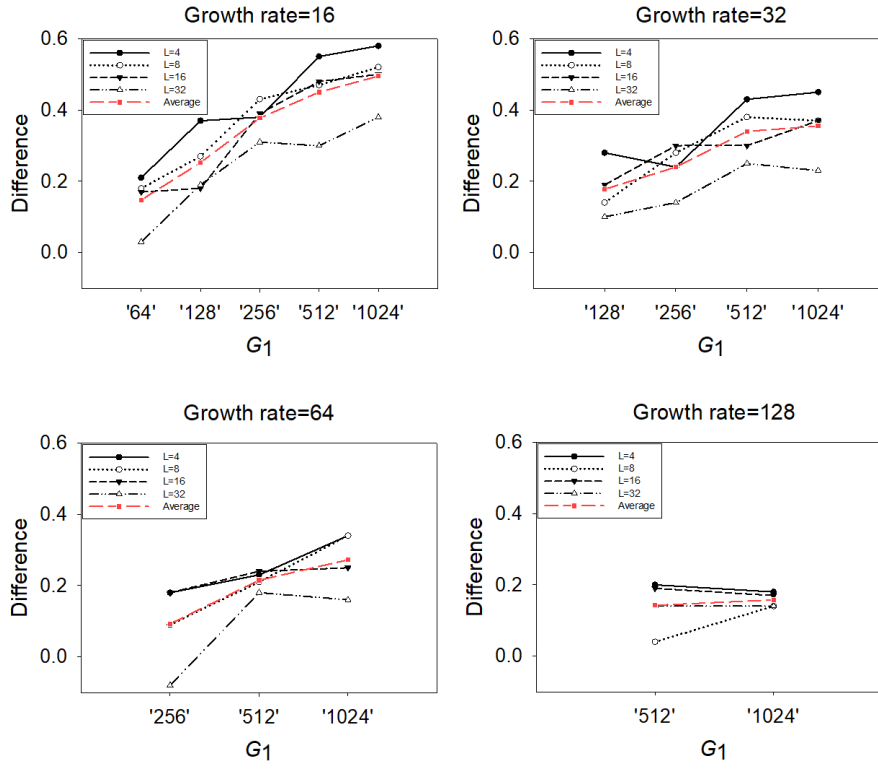
**Fig. 4:** The percentage of memory usage reduction of the proposed method compared to the basic method, while the growth rate (GR) is the same for both methods.  $L$  represents the number of convolutional layers.



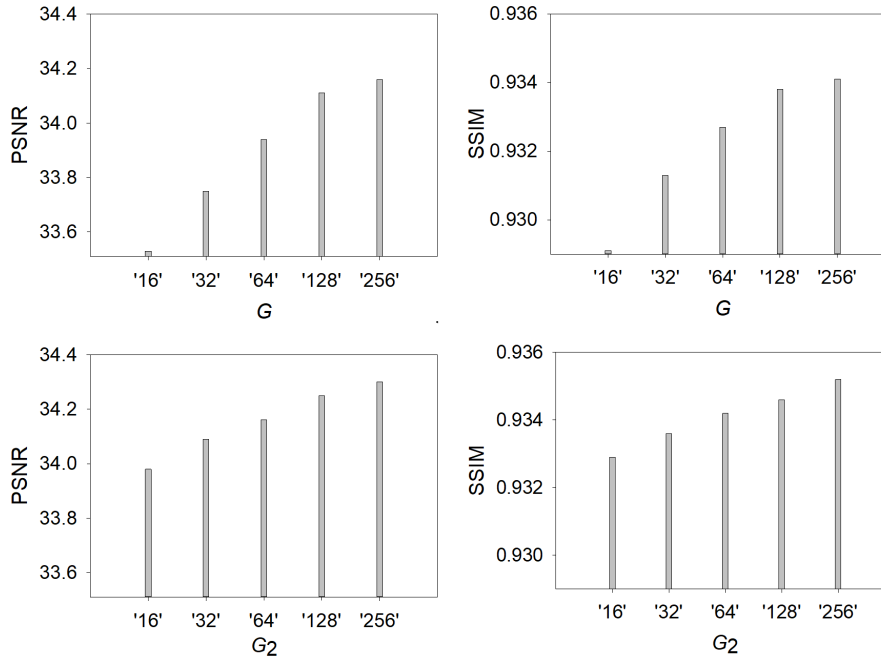
**Fig. 5:** The percentage of memory usage reduction of the proposed method compared to the basic method. The horizontal axes show the basic models. The vertical axes represent the percentage of memory improvement achieved by the proposed method with similar PSNR and lower growth rate.  $L$  represents the number of convolutional layers.



**Fig. 6:** PSNR values of the proposed method with different values of filters in its first layer ( $G_1$ ) at any fixed growth rate ( $G_2$ ).

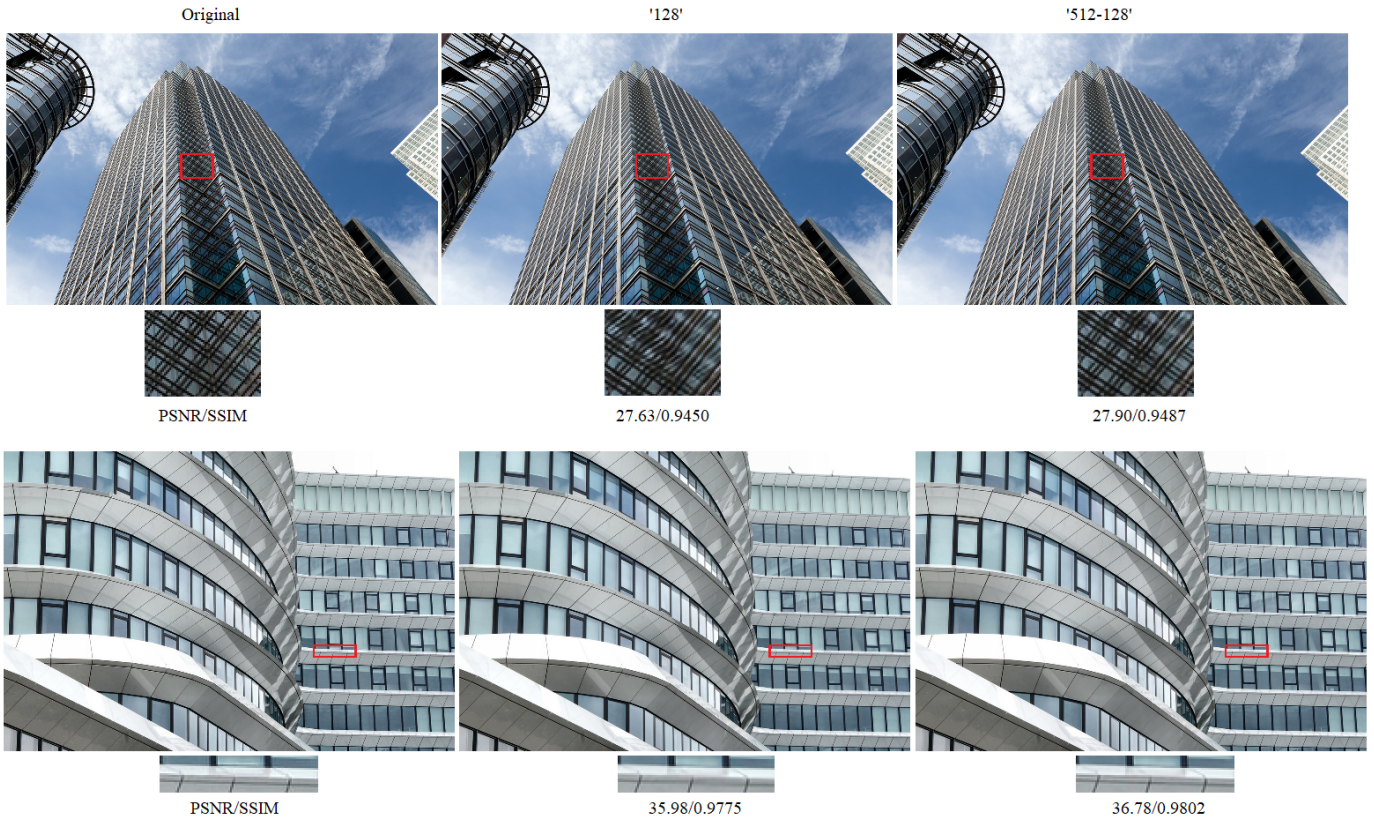


**Fig. 7:** PSNR improvement of the proposed method compared to the basic method. The improvement is shown for different growth rates ( $G_2$  in the proposed method and  $G$  in the basic dense method). Improvements for each depth ( $L=4, 8, 16, 32$ ) are shown with a plot. The average plot for all depths is depicted in red.



**Fig. 8:** PSNR/SSIM in different growth rate values ( $G$  and  $G_2$ ). For the proposed method the  $G_1$  is fixed to 512. Increasing the growth rate improves the results in both methods.





**Fig. 9:** Visual results for images 'img047' and 'img052' from Urban100.

**Table 7** PSNR improvement.

| Selected models    | PSNR improvement |
|--------------------|------------------|
| '512-16' vs. '16'  | 0.45             |
| '512-32' vs. '32'  | 0.34             |
| '512-64' vs '64'   | 0.22             |
| '512-128' vs '128' | 0.14             |
| '512-256' vs '256' | 0.06             |

**4.4.2 Investigation of the number of filters:** The value of  $G_2$  is assumed to be constant for investigating  $G_1$ . Each sub-figure in Fig. 6 shows the average PSNR values of the four depths in each value of  $G_2$ . The larger  $G_1$  results in better SISR performance at any fixed value for  $G_2$ . This is conceivable because larger  $G_1$  enriches concatenating feature-maps. Results converge at  $G_1 = 512$ . Therefore, the '512- $X$ ' models have been selected to compare with the ' $X$ ' models. PSNR improvement of the proposed method compared to the basic method is shown in Fig. 7. The improvement is shown for different growth rates ( $G_2$  in the proposed method and  $G$  in the basic dense method), and different depths ( $L=4,8,16,32$ ). The proposed method has a larger PSNR than the basic method pattern at almost all growth rates and for different values of  $G_1$  and  $L$ .

PSNR improvement of '512- $X$ ' models compared to  $X$  models, averaged at all four depths, is reported in Table 7. On average, for all values of  $X$ , an improvement of 0.24 dB is obtained.

For investigating the growth rate( $G$  in the basic dense block and  $G_2$  in proposed method),  $G_1$  is fixed to 512 in the proposed method. PSNR values, averaged at four depths, for different growth rates are depicted in Fig. 8. From this figure it can be inferred that increasing the growth rate improves the results in both methods.

**4.4.3 The effect of the number of layers:** Increasing the number of convolutional layers improves the PSNR/SSIM values in both methods. One sample is shown in Fig. 10 for models '128' and '512-128'.

**4.4.4 Visual Results:** Visual comparison is shown in Fig. 9 for models '128' and '512-128', and images 'img047' and 'img052' from Urban100. These models are trained with a scale factor of  $\times 2$  and  $L=32$  convolutional layers. The basic dense block produces noticeable artifacts and blurred edges. In contrast, the proposed method can recover sharper and clear edges.

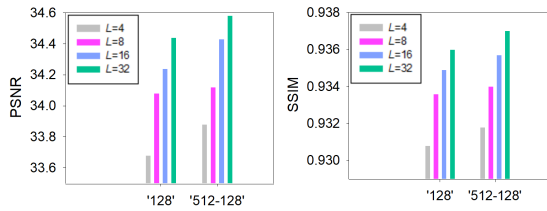
**4.4.5 Comparison to the state-of-the-art:** Dense blocks of two recent dense CNNs are replaced with the proposed dense blocks [14, 16] to compare with state-of-the-arts. All networks are trained with 200 epochs, and the results are reported in Table 8. The blocks of RDN [14] have depth 8 and growth rate 64, which are replaced with '512-128' of depth 8. The blocks of MARDN [16] have depth 4 and growth rate 32, replaced with '256-32' of depth 4. The proposed method improves the PSNR/SSIM values in both RDN and MARDN.

## 5 Conclusion

In this paper, a novel dense block is proposed producing more representative concatenating feature-maps. It uses a convolutional layer having more filters before concatenating layers. The proposed method keeps the discriminative ability of dense CNNs while reduces the GPU memory usage significantly. It improves the PSNR of the basic dense CNN by 0.24, recovers sharper and clear edges, and reduces memory consumption and test time by 24% and 6%, respectively. It decreases the need for a larger growth rate. Therefore, it achieves 40% and 12% less memory consumption and test time than the basic dense method. The highest improvements are obtained on the very challenging Urban100 dataset. These results justify the limitation of basic dense CNNs, relying only on the growth rate value for achieving better hierarchical features.

**Table 8** PSNR/SSIM results in scale factor of  $\times 2$ .

| Model     | Set5  |        | Set14 |        | B100  |        | Urban100 |        | Manga109 |        | Average |        |
|-----------|-------|--------|-------|--------|-------|--------|----------|--------|----------|--------|---------|--------|
| MARDN     | 37.99 | 0.9606 | 33.57 | 0.9178 | 32.19 | 0.8997 | 32.10    | 0.9285 | 38.31    | 0.9767 | 34.34   | 0.9358 |
| '256-32'  | 38.02 | 0.9606 | 33.63 | 0.9177 | 32.21 | 0.9003 | 32.26    | 0.9300 | 38.50    | 0.9769 | 34.46   | 0.9365 |
| RDN       | 38.12 | 0.9610 | 33.58 | 0.9185 | 32.25 | 0.9007 | 32.38    | 0.9313 | 38.71    | 0.9773 | 34.58   | 0.9372 |
| '512-128' | 38.16 | 0.9612 | 33.81 | 0.9202 | 32.30 | 0.9011 | 32.62    | 0.9330 | 38.90    | 0.9776 | 34.74   | 0.9380 |

**Fig. 10:** PSNR/SSIM with different values of convolutional layers ( $L$ ) in models '128' and '512-128'.

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